

There may be no \mathcal{I} -ultrafilter for any F_σ ideal \mathcal{I}

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Introduction

- 1 A subset $\mathcal{I} \subseteq \mathcal{P}(\omega)$ is an **ideal** if it is closed under finite unions and under almost subsets, contains all finite subsets of ω , and $\omega \notin \mathcal{I}$.

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- 6 An ideal \mathcal{I} on ω is a **p-ideal** if for any $\{A_n : n \in \omega\} \subseteq \mathcal{I}$ there is $B \in \mathcal{I}$ such that for all $n \in \omega$, $A_n \subseteq^* B$.

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We assume that all our ideals are **tall**, that is, for all $A \in [\omega]^\omega$, there is an infinite $B \in \mathcal{I}$ such that $B \subseteq A$.

Some examples of ideals on ω

Some typical ideals on ω are the following:

- 1 \mathcal{ED} is the ideal on $\omega \times \omega$ generated by $\{\{n\} \times \omega : n \in \omega\}$ and the graphs of functions from ω to ω .

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- 3 Summable ideals: are defined by a function $f : \omega \rightarrow \mathbb{R}^+ \cup \{0\}$ such that $\sum_{n \in \omega} f(n) = \infty$, and $A \in \mathcal{I}_f$ if and only if $\sum_{n \in A} f(n) < \infty$. A typical example is given by the function $f(n) = 1/(n+1)$.

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All of these ideals have complexity F_σ .

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conv and $\text{Fin} \times \text{Fin}$ have complexity $F_{\sigma\delta\sigma}$, while nwd has complexity $F_{\sigma\delta}$.

Definition(J. Baumgartner, 1993)

Let \mathcal{I} be an ideal and \mathcal{U} an ultrafilter, both of them on ω

- 1 \mathcal{U} is an \mathcal{I} -ultrafilter if for any function $f \in \omega^\omega$, there is $A \in \mathcal{U}$ such that $f[A] \in \mathcal{I}$.

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- ① \mathcal{U} is an \mathcal{I} -ultrafilter if for any function $f \in \omega^\omega$, there is $A \in \mathcal{U}$ such that $f[A] \in \mathcal{I}$.
- ② \mathcal{U} is a weak \mathcal{I} -ultrafilter if for any finite to one function $f \in \omega^\omega$, there is $A \in \mathcal{U}$ such that $f[A] \in \mathcal{I}$.

Many combinatorial properties of ultrafilters on ω can be stated in terms of being an \mathcal{I} -ultrafilter for a suitable ideal \mathcal{I} , for example:

- 1 \mathcal{U} is selective if and only if \mathcal{U} is a \mathcal{ED} -ultrafilter.

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- ④ \mathcal{U} is rapid if and only if for any summable ideal \mathcal{I} it holds that \mathcal{U} is a weak \mathcal{I} -ultrafilter.

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- ④ \mathcal{U} is rapid if and only if for any summable ideal \mathcal{I} it holds that \mathcal{U} is a weak \mathcal{I} -ultrafilter.
- ⑤ \mathcal{U} is a Hausdorff ultrafilter if and only if \mathcal{U} is a \mathcal{G}_{fc} -ultrafilter.

Theorem

The following are relatively consistent with ZFC:

- ① (K. Kunen) There is no selective ultrafilter.

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- ⑥ (S. Shelah) There is no ultrafilter with property M.

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A natural question that arises by watching the previous examples is the following:

Question

Is there a Borel ideal \mathcal{I} for which there is an \mathcal{I} -ultrafilter \mathcal{U} or a weak \mathcal{I} -ultrafilter?

Theorem(O. Guzmán González, M. Hrušák)

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Theorem(O. Guzmán González, M. Hrušák)

It is relatively consistent that for any $F_{\sigma\delta}$ ideal \mathcal{I} generic existence of \mathcal{I} -ultrafilters does not hold, i. e., there is a filter with a small generating set ($< 2^\omega$) which can not be extended to an \mathcal{I} -ultrafilter.

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Answer: Consistently no.

Theorem

It is relatively consistent with ZFC that for every F_σ ideal \mathcal{I} , \mathcal{I} -ultrafilters do not exist. Not even weak \mathcal{I} -ultrafilters.

This theorem answers several questions appearing along the literature:

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- ⑦ (M. Benedikt) Is there an ultrafilter with property M? (Originally answered by Shelah).

Definition

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- 6 $(\forall n \in \omega)(\varphi(\{n\}) < \infty)$.

Theorem(Mazur)

An ideal \mathcal{I} is an F_σ ideal provided there is a lscsm φ such that $\mathcal{I} = \text{Fin}(\varphi) = \{A \in \mathcal{P}(\omega) : \varphi(A) < \infty\}$.

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- 5 For a tree $T \subseteq \omega^{<\omega}$ and $s \in T$, the set of successors of s in T is defined as $\text{succ}_T(s) = \{k \in \omega : s \frown k \in T\}$.

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- 5 For a tree $T \subseteq \omega^{<\omega}$ and $s \in T$, the set of successors of s in T is defined as $\text{succ}_T(s) = \{k \in \omega : s \frown k \in T\}$.
- 6 For a tree $T \subseteq \omega^{<\omega}$, the set of splitting nodes of T is defined as $\text{split}(T) = \{s \in T : |\text{succ}_T(s)| > 1\}$.

Notation

- 1 $\omega^{<\omega}$ denotes the family of all finite sequences of natural numbers.
- 2 For $s \in \omega^{<\omega}$ and $k \in \omega$, $s \frown k$ denotes the sequence obtained by adding k to the end of s .
- 3 For $s \in \omega^{<\omega}$, $|s|$ denotes the length of the sequence s .
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- 7 For a tree $T \subseteq \omega^{<\omega}$ and $s \in T$, $T \upharpoonright s$ denotes the set of all nodes in T which are \subseteq -comparable with s .

Notation

- 8 Given a tree $T \subseteq \omega^{<\omega}$, we denote by $(T)_n$ the set of all nodes in T with length exactly n , that is $(T)_n = \{s \in T : |s| = n\}$.

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- 9 For a tree $T \subseteq \omega^{<\omega}$ and a non-empty $F \subseteq \omega$, define $(T)_F = \bigcup_{n \in F} (T)_n$.
- 10 For a set $S \subseteq \omega^{<\omega}$, define the tree generated by S , denoted $gt(S)$, as follows:

$$gt(S) = \{s \in \omega^{<\omega} : (\exists r \in S)(s \subseteq r)\}$$

Definition

A tree $T \subseteq \omega^{<\omega}$ is a superperfect tree if it satisfies the following conditions:

- 1 For all $s \in T$, s is a strictly increasing sequence.

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- 3 For all $s \in \text{split}(T)$, $\text{succ}_T(s)$ is infinite.

Definition

The Miller's forcing, denoted by PT , is the partial order whose members are all the superperfect trees, ordered by set inclusion, that is, given $S, T \in \text{PT}$, $S \leq T$ if and only if $S \subseteq T$.

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For $T \in \text{PT}$, we denote by $st(T)$ the stem of condition T , which is the unique splitting node in T with minimal length.

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In what follows we fix an F_σ ideal \mathcal{I} , and φ denotes a lscsm which defines the ideal \mathcal{I} , that is $\mathcal{I} = Fin(\varphi)$.

Definición

Let $T \in \text{PT}$ be a condition in the Miller's forcing and $m \in \omega$. We say that a node $s \in T$ is (T, φ, m) -good, if there is $F_s^T \in [\omega]^{<\omega}$ such that:

① $|s| = \min(F_s^T)$.

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We say that a tree $T \in \text{PT}$ is φ -good if:

- 1 For any $m \in \omega$ and any $s \in T$, there is a (T, φ, m) -good node $t \in T$ extending the node s .

Definición

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- 2 For any node $s \in \text{split}(T)$, there is a (T, φ, m) -good node $r \in T$ for some $m \in \omega$, such that $s \in (T \upharpoonright r)_{F_s^T}$.

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Definition

We define the forcing $\text{PT}(\varphi)$ as the set of all superperfect trees which are φ -good, ordered by set inclusion.

Lemma 1 (Axiom A)

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Lemma 1

The forcing $\text{PT}(\varphi)$ has Axiom A, therefore $\text{PT}(\varphi)$ is a proper forcing.

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Definition

Let \dot{G} be a generic filter for $\text{PT}(\varphi)$. We define the generic real \dot{x}_{gen} as:

$$\dot{x}_{gen} = \bigcup \bigcap \dot{G}$$

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Definition

Let \dot{x}_{gen} be the generic real added by \dot{G} . We define the function \dot{f}_{gen} as:

$$\dot{f}_{gen}(n) = k \iff n \in (\dot{x}_{gen}(k-1), \dot{x}_{gen}(k)]$$

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Lemma 2

$\text{PT}(\varphi)$ forces that for all $A \in [\omega]^\omega \cap V$, $\varphi(\dot{f}_{gen}[A]) = \infty$.

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Lemma 3

Let \dot{x} be a $\text{PT}(\varphi)$ -name for an infinite subset of ω , and let $T \in \text{PT}(\varphi)$ be a condition. There is $T' \leq T$ such that:

$$\textcircled{1} F_{st(T')}^{T'} = F_{st(T)}^T.$$

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- ① $F_{st(T')}^{T'} = F_{st(T)}^T$.
- ② For each $f \in (T')_{F_{st(T')}^{T'}}$ there is a set $X_f \subseteq \omega$ such that for all $n \in \omega$ and for all but finitely many $k \in \text{succ}_{T'}(f)$:

$$T' \upharpoonright f \frown k \Vdash \dot{x} \cap n = X_f \cap n$$

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$$T' \upharpoonright f \frown k \Vdash "\dot{x} \cap n = X_f \cap n"$$

Proof: induction over the size of $F_{st(T)}^T$.

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Lemma 4

Let \mathcal{U} be an ultrafilter, \dot{x} a $\text{PT}(\varphi)$ -name for an infinite subset of ω , and $T \in \text{PT}(\varphi)$ a condition. Then there is $T' \leq T$ such that:

$$\textcircled{1} \varphi(F_{st(T')}^{T'}) \geq \varphi(F_{st(T)}^T)/2.$$

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Lemma 4

Let \mathcal{U} be an ultrafilter, \dot{x} a $\text{PT}(\varphi)$ -name for an infinite subset of ω , and $T \in \text{PT}(\varphi)$ a condition. Then there is $T' \leq T$ such that:

- 1 $\varphi(F_{st(T')}^{T'}) \geq \varphi(F_{st(T)}^T)/2$.
- 2 For each $f \in (T')_{F_{st(T')}^{T'}}$ there is a set $X_f \subseteq \omega$ such that for all $n \in \omega$, for all but finitely many $k \in \text{succ}_{T'}(f)$:

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- 3 It happens exactly one of the following:

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Lemma 4

Let \mathcal{U} be an ultrafilter, \dot{x} a $\text{PT}(\varphi)$ -name for an infinite subset of ω , and $T \in \text{PT}(\varphi)$ a condition. Then there is $T' \leq T$ such that:

- 1 $\varphi(F_{st(T')}^{T'}) \geq \varphi(F_{st(T)}^T)/2$.
- 2 For each $f \in (T')_{F_{st(T')}^{T'}}$ there is a set $X_f \subseteq \omega$ such that for all $n \in \omega$, for all but finitely many $k \in \text{succ}_{T'}(f)$:

$$T' \upharpoonright f \frown k \Vdash \dot{x} \cap n = X_f \cap n$$

- 3 It happens exactly one of the following:
 - 1 For all $f \in (T')_{F_{st(T')}^{T'}}$, $X_f \in \mathcal{U}$.

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Lemma 4

Let \mathcal{U} be an ultrafilter, \dot{x} a $\text{PT}(\varphi)$ -name for an infinite subset of ω , and $T \in \text{PT}(\varphi)$ a condition. Then there is $T' \leq T$ such that:

- 1 $\varphi(F_{st(T')}^{T'}) \geq \varphi(F_{st(T)}^T)/2$.
- 2 For each $f \in (T')_{F_{st(T')}^{T'}}$ there is a set $X_f \subseteq \omega$ such that for all $n \in \omega$, for all but finitely many $k \in \text{succ}_{T'}(f)$:

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- 3 It happens exactly one of the following:
 - 1 For all $f \in (T')_{F_{st(T')}^{T'}}$, $X_f \in \mathcal{U}$.
 - 2 For all $f \in (T')_{F_{st(T')}^{T'}}$, $\omega \setminus X_f \in \mathcal{U}$.

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Lemma 5

Let $T \in \text{PT}(\varphi)$ and $c : \text{split}(T) \rightarrow 2$ is a coloring, then there is a condition $T' \leq T$ such that $c \upharpoonright \text{split}(T')$ is constant.

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Lemma 6

Let \mathcal{U} be an ultrafilter, $T \in \text{PT}(\varphi)$ be a condition, \dot{x} be a $\text{PT}(\varphi)$ -name. Then there is $T' \leq T$ such that for all $s \in \text{split}(T')$, there is $X_s \subseteq \omega$ satisfying the following two conditions:

- 1 It happens exactly one of the following:

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Lemma 6

Let \mathcal{U} be an ultrafilter, $T \in \text{PT}(\varphi)$ be a condition, \dot{x} be a $\text{PT}(\varphi)$ -name. Then there is $T' \leq T$ such that for all $s \in \text{split}(T')$, there is $X_s \subseteq \omega$ satisfying the following two conditions:

- 1 It happens exactly one of the following:
 - 1 For all $s \in \text{split}(T')$, $X_s \in \mathcal{U}$.

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Let \mathcal{U} be an ultrafilter, $T \in \text{PT}(\varphi)$ be a condition, \dot{x} be a $\text{PT}(\varphi)$ -name. Then there is $T' \leq T$ such that for all $s \in \text{split}(T')$, there is $X_s \subseteq \omega$ satisfying the following two conditions:

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 - 2 For all $s \in \text{split}(T')$, $\omega \setminus X_s \in \mathcal{U}$.

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- 1 It happens exactly one of the following:
 - 1 For all $s \in \text{split}(T')$, $X_s \in \mathcal{U}$.
 - 2 For all $s \in \text{split}(T')$, $\omega \setminus X_s \in \mathcal{U}$.
- 2 For all $s \in \text{split}(T')$, for all $n \in \omega$ and for all but finitely many $k \in \text{succ}_{T'}(s)$:

$$T' \upharpoonright s \frown k \Vdash \dot{x} \cap n = X_s \cap n$$

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Lemma 6(version 2)

Let \dot{x} be a $\text{PT}(\varphi)$ -name for a function from ω to ω , and $T \in \text{PT}(\varphi)$ be a condition which forces \dot{x} to be bounded by $g \in \omega^\omega$. Then there are $T' \leq T$ and $S \subseteq \text{split}(T')$ which gives φ -block structure to T' , such that for all $s \in S$:

For each $r \in (T')_{F_s}$ there is a function $f_r \in \omega^\omega$ such that for all $n \in \omega$, for all but finitely many $k \in \text{succ}_{T'}(r)$:

$$T' \upharpoonright r \frown k \Vdash \dot{x} \upharpoonright (|r| + n) = f_r \upharpoonright (|r| + n)$$

Laver Property

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Proposition 7

The forcing $\text{PT}(\varphi)$ has the Laver property.

P-points preservation

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Proposition 8

The forcing $\text{PT}(\varphi)$ preserves p-points.

Near Coherence of Filters principle

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For \mathcal{U} an ultrafilter on ω and $f \in \omega^\omega$, $f(\mathcal{U}) = \{A \in \mathcal{P}(\omega) : f^{-1}[A] \in \mathcal{U}\}$.

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For \mathcal{U} an ultrafilter on ω and $f \in \omega^\omega$, $f(\mathcal{U}) = \{A \in \mathcal{P}(\omega) : f^{-1}[A] \in \mathcal{U}\}$.

Definition(NCF, A. Blass)

Given two ultrafilters on ω , \mathcal{U} and \mathcal{V} , there is a finite to one function $f \in \omega^\omega$ such that $f(\mathcal{U}) = f(\mathcal{V})$.

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Lemma 9

The following statements are consequences of the Near Coherence of Filters principle:

- 1 There are ultrafilters generated by less than \mathfrak{d} sets.

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Lemma 9

The following statements are consequences of the Near Coherence of Filters principle:

- ① There are ultrafilters generated by less than \mathfrak{d} sets.
- ② The Rudin-Blass ordering is downward directed.

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Lemma 9

The following statements are consequences of the Near Coherence of Filters principle:

- ① There are ultrafilters generated by less than \mathfrak{d} sets.
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- ③ p -points are dense in the Rudin-Blass ordering.

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Lemma 9

The following statements are consequences of the Near Coherence of Filters principle:

- 1 There are ultrafilters generated by less than \mathfrak{d} sets.
- 2 The Rudin-Blass ordering is downward directed.
- 3 p -points are dense in the Rudin-Blass ordering.
- 4 There are no q -points.

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Lemma 10

Let \mathcal{U} and \mathcal{V} be two ultrafilters on ω . Then $\text{PT}(\varphi) \Vdash \dot{f}_{gen}(\mathcal{V}) = \dot{f}_{gen}(\mathcal{U})$.
Moreover, for each ultrafilter \mathcal{U} on ω , $\text{PT}(\varphi) \Vdash \dot{f}_{gen}(\mathcal{U})$ is a p -point”.

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Theorem(A. Blass, S. Shelah)

Let $P_\alpha = \langle P_\beta, \dot{Q}_\beta : \beta < \alpha \rangle$ be a countable support iteration of proper forcings such that for all $\beta < \alpha$, P_β forces that \dot{Q}_β preserves p -points. Then P_α is proper and preserves p -points.

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Theorem

Let $P_{\omega_2} = \langle P_\beta, \dot{Q}_\beta : \beta < \omega_2 \rangle$ be a countable support iteration of proper forcings such that for any $\alpha < \omega_2$, P_α forces that \dot{Q}_α is of the form $PT(\dot{\varphi})$, and for any lscsm $\dot{\varphi}$ which appears in the intermediate steps, $PT(\dot{\varphi})$ appears cofinally often. Then P_{ω_2} forces that for any F_σ ideal \mathcal{I} , there is no \mathcal{I} -ultrafilter. In particular, there is no Hausdorff ultrafilter in the resulting model.

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In the previous model NCF is true and the following holds true that for any F_σ ideal \mathcal{I} :

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Theorem

Let $P_{\omega_2} = \langle P_\beta, \dot{Q}_\beta : \beta < \omega_2 \rangle$ be a countable support iteration of proper forcings such that for any $\alpha < \omega_2$, P_α forces that \dot{Q}_α is of the form $PT(\dot{\varphi})$, and for any lscsm $\dot{\varphi}$ which appears in the intermediate steps, $PT(\dot{\varphi})$ appears cofinally often. Then P_{ω_2} forces that for any F_σ ideal \mathcal{I} , there is no \mathcal{I} -ultrafilter. In particular, there is no Hausdorff ultrafilter in the resulting model.

In the previous model NCF is true and the following holds true that for any F_σ ideal \mathcal{I} :

$$(\forall X \subseteq [\omega]^\omega)(|X| \leq \aleph_1 \Rightarrow (\exists f \in \text{FtO})(f[X] \in \mathcal{I}^+))$$

Question

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Is there an $F_{\sigma\delta}$ ideal \mathcal{I} , in ZFC, such that \mathcal{I} -ultrafilters exist?

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Thank you very much for you attention!